

DOCUMENT TITLE

Conflict Minerals Reporting Template

SHEET

1 of 8

**REVISION HISTORY**

A change in the first digit of the revision number (e.g., 1.0 to 2.0) signifies a set of major improvements have occurred which will likely include different data reporting requirements. Changes to the first or second decimal place (e.g., "2.01" to "2.02") indicate only minor changes have been made to the template which are not expected to result in substantial changes to the data being reported. The addition of a letter (e.g., "a", or "b", or "c") following the revision number indicate that only the standard smelter list has been updated from the prior version.

REVISION	ORIGINATOR	RELEASE DATE	DESCRIPTION OF FUNCTIONAL CHANGE	UPDATES TO SMELTER LIST
1	Jared Connors, Intel	July 19th, 2011	New Release	
2	Jared Connors, Intel	Aug 29th, 2012	Major update to functionality including: addition of the known smelter list, addition of declaration scope including product tab, and added and modified multiple questions and / or their responses.	New.
2.01	Jared Connors, Intel	Dec 21st, 2012	<p>List of changes to the template functionality:</p> <ol style="list-style-type: none"> 1. Modified Smelter List tab to prevent smelter rows from wrapping text. This was being caused by the hidden formula in column A which allows for a software vendor to easily grab the smelter IDs. 2. Changed protection settings on the Smelter List tab to allow users to delete rows. This allows users to delete rows with incorrect entries within the smelter tab. Ensured that columns could not be mistakenly deleted in the process. 	<ol style="list-style-type: none"> 1. Added "CV DS Jaya Abadi" an alias to "PT Stanindo Inti Perkasa" 2. Added "Mentok" as an alias to "PT Tambang Timah" 3. Corrected spelling of "Duoluoshan" id # 3CHN001 4. Corrected spelling of "Mitsubishi Materials Corporation" id # 1JPN039 5. Changed "Gejiu Non-ferrous" to its proper name "Geiju Non-Ferrous Metal Processing Co. Ltd." 6. Changed "Mitsubishi Material" to its proper name "Mitsubishi Materials Corporation" 7. Changed "Niotan" to "Kemet Blue Powder" 8. Added "Nihon Material Co. LTD" as a gold refiner 9. Added "Aida Chemical Industries Co. Ltd." as a gold refiner 10. Added "Asaka Riken Co Ltd" as a gold refiner 11. Added "Kojima Chemical" as a gold refiner 12. Added "Sabin" as a gold refiner 13. Added "United Precious Metal Refining Inc." as a gold refiner 14. Added "Yokohama Metal Co Ltd" as a gold refiner 15. Added "CNMC (Guangxi) PGMA Co., Ltd." as a tin refiner 16. Added "Conghua Tantalum and Niobium Smeltry" as a tantalum refiner 17. Removed "Tantalite Resources" as a refinery 18. Added "Minmetals Ganzhou Tin Co. Ltd." as a tin refinery 19. Updated "ATI Metalworking Products" to its proper full name "ATI Tungsten Materials" 20. Updated "China Minmetals Corp." to its proper full name "China Minmetals Nonferrous Metals Co Ltd" 21. Removed "Ganzhou Huaxing Tungsten" as a smelter 22. Removed "Ganzhou Nonferrous Metals Smelting Co Ltd." as a smelter 23. Removed "Sichuan Metals & Materials Imp & Exp Co as a tungsten smelter 24. Added "Ganzhou Grand Sea W & Mo Group Co., Ltd." as a tungsten smelter 25. Added "Hunan Chenzhou Mining Group Co" as a tungsten smelter 26. Added "Japan New Metals Co Ltd" as a tungsten smelter 27. Added "Zhuzhou Cemented Carbide Group Co Ltd" as a tungsten smelter

2.02	Jared Connors, Intel	March 29th, 2013	<ol style="list-style-type: none"> 1. Added new selection to the metals dropdown lists of smelter list tab "Smelter not yet identified" 2. Moved "smelter not listed" to the bottom of each metals dropdown list 3. Fixed error in Checker sheet to eliminate display of text "one or more smelters have been added to smelter list" when rows are deleted 4. Rewrite of T&Cs 5. Adding Italian translation 6. Allow for deletion of rows in Smelter List tab 7. Removed hover over text in column C of Smelter List tab 8. Inserted additional rows for data entry on the Smelter List tab up to 2,500 rows 9. Made smelter ID numbers visible in Smelter List tab 10. Made template revision history tab visible 11. Removed language selection from individual tabs, all controlled on Declaration tab 12. Updated template to prevent users from adding tabs to the worksheet 13. Added statement at the top of the revision history tab clarifying purpose of .0x revision updates 	<ol style="list-style-type: none"> 1. Added the following aliases to Ohio Precious Metals "OPM Metals", "USPM", "United States Precious Metals" 2. Added "ALMT" as tungsten smelter 3. Added "Suzhou Xingrui Noble" as gold smelter 4. Added "Shangdong Zhaojin Group" as an alias of "Shandong Zhaojin Gold & Silver Refinery Co., Ltd" 5. Added "Shandong Zhaoyuan Gold Argentine refining company limited" as an alias of "Zhongyuan Gold Smelter of Zhongjin Gold Corporation" 6. Added "SEMPSA" as an alias for "SEMPSA Joyeria Plateria SA" 7. Added "Umicore Brazil Ltd" as an alias for "Umicore Brasil Ltda" 8. Added "Pan Pacific Copper Co., LTD." as a gold smelter 9. Added "White Solder Metalurgia" as a tin smelter 10. Added "JiuJiang JinXin Nonferrous Metals Co. Ltd." as a tantalum smelter 11. Corrected spelling of "jiujiang Tanbre" to "JiuJiang Tambre Co. Ltd." 12. Added Torecom as a gold smelter 15. Added "PT Tinindo Internusa" as alias of "PT Tinindo Inter Nusa" 16. Added "CV Jus Tindo" as alias of "CV JusTindo" 17. Added "PT Bellitin Makmur Lestari" and "BML" as alias of "PT BilliTin Makmur Lestari" 18. Added "Liuzhou China Tin Group Co., Ltd." as alias of "Liuzhou China Tin" 19. Added "PT Timah (Persero) TBK" and "Banka Tin" as alias of "PT Tambang Timah" 20. Added "Yun Nan Tin Co.,LTD" as alias of "Yunnan Tin Company Limited" 21. Added "GEJIU ZILI MINING&SMELTING CO.,LTD." as alias of "Gejiu Zi-Li" 22. Added "Jiangxi Tungsten Co Ltd" as alias of "Jiangxi Tungsten Industry Group Co Ltd" 23. Added "Linwu Xianggui" as a tin smelter 24. Added "IMLI" and "Indra Eramulti Logam" as aliases of "PT Bukit Timah" 25. Added "CV Gita Pesona" as tin smelter 26. Added "PT Tommy Utama" as tin smelter 27. Added "PT Bangka Tin Industry" as tin smelter 28. Added "PT DS Jaya Abadi" as tin smelter 29. Added "PT Panca Mega" as tin smelter 30. Added "PT Seirama Tin investment" as tin smelter 31. Added "PT Karimun Mining" as tin smelter 32. Added "Cooper Santa" as tin smelter 33. Added "Daejin Indus Co. Ltd" as gold smelter 34. Added "DaeryongENC" as gold smelter 35. Added "Do Sung Corporation" as gold smelter 36. Added "Hwasung CJ Co. Ltd" as gold smelter 37. Added "Korea Metal" as gold smelter 38. Added "SAMWON METALS Corp." as gold smelter
2.03	Akimasa Yamakawa, JEITA / John Plyler, BlackBerry	July 12th, 2013	<ol style="list-style-type: none"> 1. Resolved Excel 2003 incompatibility with programming for multiple languages. 2. Minor corrections to row number references in the instructions. 3. Added translation on checker sheet for the Column Name "Hyperlink to Source" 4. Corrected Japanese translation of "authorized representative" and "representative" on Declaration worksheet. 5. Adjusted row spacing of misc cells to allow for different lengths of translated text and comments. 6. Removed the symbols for the metals on the standard smelter list (e.g., "Sn"). 7. Deleted text "If no for all metals, you are done with this survey." from question 1 on the Declaration 	<ol style="list-style-type: none"> 1. Added "Fujian Jinxin Tungsten Co., Ltd." as tungsten refiner 2. Added "Dayu Weiliang Tungsten Co., Ltd." as tungsten refiner 3. Added "Xinhai Rendan Shaoguan Tungsten Co., Ltd." as tungsten refiner 4. Added "Hunan Chun-Chang Nonferrous Smelting & Concentrating Co., Ltd." as tungsten refiner 5. Added "Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd." as tungsten refiner 6. Corrected the spelling of "Allydne" to "Alldyne" 7. Corrected the spelling of "Allydne Powder Technologies" to "Alldyne Powder Technologies" 8. Corrected the spelling of "Korea Metal" to "Korea Metal Co. Ltd" 9. Added "LMS Brasil S.A." as tantalum smelter 10. Added "QuantumClean" as tantalum smelter

			worksheet.	<ul style="list-style-type: none"> 11. Added "Taki Chemicals" as tantalum smelter 12. Added "Tantalite Resources" as tantalum smelter 13. Corrected naming inconsistency of "Ohio Precious Metals LLC." on standard smelter list and alias table. 14. Corrected naming inconsistency of "The Refinery of Shandong Gold Mining Co., Ltd" on standard smelter list and alias table. 15. Added "Molycorp Silmet" as tantalum smelter 16. Added "King-Tan Tantalum Industry Ltd" as tantalum smelter 17. Added "CooperMetal" as an alias of "Coopersanta" 18. Corrected the spelling of "Malaysia Smelting Corp" to "Malaysia Smelting Corporation (MSC)" 19. Corrected the spelling of "Asahi Pretec Corp" to "Asahi Pretec Corporation" 20. Corrected the spelling of "United Precious Metal Refining Inc." to "United Precious Metal Refining, Inc." 21. Added "Fenix Metals" as tin smelter 22. Changed alias "Bangka Tin" from "PT Tambang Timah" to "PT Timah" 23. Added "Ketapang" as an alias of "PT Bangka Putra Karya" 24. Corrected the Smelter ID of Cooper Santa from "2IDN063" to "2BRA063" 25. Added "Kundur" as an alias of "PT Tambang Timah" 26. Added "TT" as an alias of "PT Tambang Timah" 27. Added "CooperMetal" as an alias of "Coopersanta" 28. Corrected spelling of "CV Prima Timah Utama" to "PT Prima Timah Utama".
2.03a	John Plyler, BlackBerry	July 25th, 2013	No functional change.	<ul style="list-style-type: none"> 1. Corrected the spelling of "ALMT" to "A.L.M.T. Corp." 2. Added "A.L.M.T. Tungsten Corp", "Allied Material Corp", and "ALMT" as aliases of "A.L.M.T. Corp." 3. Corrected country of "A.L.M.T. Corp." to "Japan" and smelter ID to "4JPN020" 4. Changed alias "Wolfram" for "Wolfram Company CJSC" to "Wolfram [Russia]" 5. Added "Wolfram [Austria]" as an alias of "Wolfram Bergbau und Hütten AG" 6. Added "Kennametal Inc." as a tungsten refiner 7. Added "Kennametal" as an alias of "Kennametal Inc."
3.00	Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup	April 9th, 2014	Major update to synchronize the CFSI CMRT with the data fields in the newly published IPC-1755 Standard. Changes include: <ul style="list-style-type: none"> 1. Addition of new company information fields. 2. Two additional due diligence questions and removal of one. 3. Minor changes to question text throughout. 4. Expansion of instructions and definitions. 5. Updated translations of all modified text. 	<ul style="list-style-type: none"> Added the following gold refiners: <ul style="list-style-type: none"> 1. Bauer Walser AG 2. C. Hafner GmbH + Co. KG 3. China National Gold Group Corporation 4. Colt Refining 5. Daye Non-Ferrous Metals Mining Ltd. 6. Doduco 7. Eco-System Recycling Co., Ltd. 8. Gansu Seemine Material Hi-Tech Co Ltd 9. Guangdong Jinding Gold Limited 10. Hangzhou Fuchunjiang Smelting Co., Ltd. 11. Hunan Chenzhou Mining Industry Group 12. Kennecott Utah Copper LLC 13. Lingbao Jinyuan Tonghui Refinery Co. Ltd. 14. Luoyang Zijin Yinhui Metal Smelt Co Ltd 15. Metalor Technologies (Singapore) Pte. Ltd. 16. Ohura Precious Metal Industry Co., Ltd 17. Penglai Penggang Gold Industry Co Ltd 18. So Accurate Group, Inc. 19. Tongling nonferrous Metals Group Co.,Ltd 20. Umicore Precious Metals Thailand 21. YAMAMOTO PRECIOUS METAL CO., LTD. 22. Yunnan Copper Industry Co Ltd

Added the following tantalum smelters:

1. Changsha South Tantalum Niobium Co Ltd
2. Guangdong Zhiyuan New Material Co., Ltd.
3. Hengyang King Xing Lifeng New Materials Co., LTD
4. Metallurgical Products India (Pvt.) Ltd.
5. Mineração Taboca S.A.
6. Shanghai Jiangxi Metals Co. Ltd
7. Yichun Jin Yang Rare Metal Co., Ltd

Added the following tin smelters:

1. China Rare Metal Materials Company
2. Estanho de Rondônia S.A.
3. Magnu's Mineraiis Metais e Ligas LTDA
4. O.M. Manufacturing (Thailand) Co., Ltd.
5. Rui Da Hung
6. Soft Metais Ltda.

Added the following tungsten refiners:

1. Ganzhou Jiangwu Ferrotungsten Co., Ltd.
2. Jiangxi Gan Bei Tungsten Co., Ltd.
3. Jiangxi Richsea New Materials Co., Ltd.
4. Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd.
5. Jiangxi Xinsheng Tungsten Industry Co., Ltd.
6. Jiangxi Yaosheng Tungsten Co., Ltd.
7. Malipo Haiyu Tungsten Co., Ltd.
8. Xiamen Tungsten (H.C.) Co., Ltd.

Removed the following as gold refiners:

1. Central Bank of the DPR of Korea
2. Codelco
3. Suzhou Xingrui Noble

Removed "Gannon & Scott" as a tantalum smelter

Removed the following as tin smelters:

1. CV Duta Putra Bangka
2. CV Gita Pesona
3. CV JusTindo
4. CV Makmur Jaya
5. CV Nurjanah
6. Gold Bell Group
7. PT Alam Lestari Kencana
8. PT Babel Surya Alam Lestari
9. PT Bangka Kudai Tin
10. PT Bangka Timah Utama Sejahtera

11. PT BilliTin Makmur Lestari
12. PT Fang Di MulTindo
13. PT HP Metals Indonesia
14. PT Koba Tin
15. PT Panca Mega
16. PT Seirama Tin investment
17. PT Sumber Jaya Indah
18. PT Timah Nusantara
19. PT Tommy Utama
20. PT Yinchendo Mining Industry

Removed the following as tungsten refiners:

1. China Minmetals Nonferrous Metals Co Ltd
2. Ganzhou Grand Sea W & Mo Group Co Ltd

Changed numerous Standard Smelter Names, including:

1. "Pan Pacific Copper Co. LTD" to "JX Nippon Mining & Metals Co., Ltd"
2. "Xstrata Canada Corporation" to "CCR Refinery – Glencore Canada Corporation"
3. "PT Refined Bangka Tin" to "PT Refined Bangka Tin"
4. "ATI Tungsten Materials" to "Kennametal Huntsville"
5. "Jiangxi Rare Earth & Rare Metals Tungsten Group Corp" to "Ganzhou Non-ferrous Metals Smelting Co., Ltd."
6. "Kennametal Inc." to "Kennametal Fallon"
7. "Chaozhou Xianglu Tungsten Industry Co Ltd" to "Guangdong Xianglu Tungsten Industry Co., Ltd."

Changed numerous "Alias" names of smelters and refiners.

3.01	Akimasa Yamakawa, JEITA, and John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Workgroup	May 30th, 2014	<ol style="list-style-type: none"> 1. Removed the ability to overwrite the "Declaration Scope or Class" field. Users are restricted to only use the drop-down options. 2. Addressed issue with Checker incorrectly showing "Description of Scope" as missing data when a user selects "B. Product (or List of Products)" as the Declaration Scope. 3. Programmed Checker to show missing data when response to question B is "Yes", unless a url is entered the corresponding "Comments" field. 	<ol style="list-style-type: none"> 1. Added the tin smelter "Melt Metais e Ligas S/A" 2. Added the tungsten refiner "Vietnam Youngsun Tungsten Industry Co., Ltd" 3. Corrected the name of tin smelter "Liuzhou China Tin" to "China Tin Group Co., Ltd." 4. Corrected the name of tin smelter "PT Timah" to "PT Timah (Persero), Tbk"
3.02	John Plyler, BlackBerry, under the direction of the CFSI Due Diligence Data Collection Workgroup	Nov 7th, 2014	<ol style="list-style-type: none"> 1. Revisions to the German language translation throughout. 2. Correction of the Japanese language translation for Question 4 on the Declaration worksheet. 	This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of November 7, 2014. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.0	CFSI Due Diligence Data Collection Workgroup	Apr 30th, 2015	<p>Replaced the Standard Smelter Names tab with the Smelter Reference List tab, displaying common alternate names for smelters as well as location information. Major update to synchronize the CFSI CMRT with the data fields in the newly revised IPC-1755 Standard. Changes include:</p> <ol style="list-style-type: none"> 1. Changes to question text throughout. 2. Expansion of instructions and definitions. 3. Updated translations of all modified text. 	This version incorporates numerous changes to the smelter list as reflected in the Standard Smelter List as of April 17, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.01	CFSI Due Diligence Data Collection Workgroup	June 12th, 2015	Minor revisions to correct reported issues including those related to error checking on the "Checker" and "Smelter List" tabs.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of June 12, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.01a	CFSI Due Diligence Data Collection Workgroup	August 6th, 2015	No functional change. Elemental CID corrected to read CID001322.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of August 5, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.01b	CFSI Due Diligence Data Collection Workgroup	November 16th, 2015	No functional change.	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of November 6, 2015. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .
4.10	CFSI CMRT Team	May 12, 2017	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Additions and clarifications in the instructions and definitions b. Smelter List Tab: Re-introduction of "smelter not yet identified" c. Smelter List Tab: Inclusion of drop down menu for smelter ID that triggers auto-population of columns B to J 3. Translation improvements and addition of Turkish language 4. Updates to the Smelter Reference List and Standard Smelter List <ol style="list-style-type: none"> a. Updated lists and corrections b. ASCII character set alignment 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 23, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org .

4.20	CFSI CMRT Team	November 30, 2016	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Additions and clarifications in the instructions and definitions b. Update to ISO short names for countries 3. Translation improvements 4. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of October 6, 2016. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.0	CFSI CMRT Team	May 12, 2017	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Conformance to IPC-1755 in the wording of the following questions: Q. 1, Q. 2, Q. 5, A, F, I (formerly J); removal of former question G <ol style="list-style-type: none"> a. Additions and clarifications in the instructions and definitions b. Update to ISO short names for countries 3. Conformance to IPC-1755 use of ASCII character set for Standard Smelter Name in hidden column R on the smelter list 4. Addition of ISO Country Codes and State/Province Codes in hidden columns S and T on the smelter list 5. Renaming of "Smelter Reference List" to "Smelter Look-up" 6. Updates to translations for all modified text 7. Updates to the Smelter Look-up List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.01	CFSI CMRT Team	June 21, 2017	<ol style="list-style-type: none"> 1. Corrections to checker tab errors 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 17, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.10	CFSI CMRT Team	December 1, 2017	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 4. Change to .xlsx format 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of September 29, 2017. The latest version of the Standard Smelter List is available at: http://www.conflictreesourcing.org/conflict-free-smelter-program/exports/cmrt-export/ .
5.11	RMI MRT Team	April 27, 2018	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 6, 2018. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
5.12	RMI MRT Team	April 26, 2019	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 27, 2019. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.0	RMI MRT Team	May 13, 2020	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Conformance to IPC-1755, which incorporated EU Conflict Minerals Regulation, in the wordings of the following questions: Q 4 (newly added), Q H(formerly Q I), removal of former question C <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 3. Updates to the Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 3, 2020. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.01	RMI MRT Team	May 19, 2020	<ol style="list-style-type: none"> 1. Minor revisions to correct reported issues including those related to "Product List" tab. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 3, 2020. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .

6.10	RMI MRT Team	April 28, 2021	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Updates to Smelter Reference List and Standard Smelter List 3. Translation improvements 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 19, 2021. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.20	RMI MRT Team	April 27, 2022	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Updates to Smelter Reference List and Standard Smelter List 3. Translation improvements 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.21	RMI MRT Team	May 6, 2022	<ol style="list-style-type: none"> 1. Minor revisions to correct reported issues including those related to "Smelter List" tab. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.22	RMI MRT Team	May 11, 2022	<ol style="list-style-type: none"> 1. Minor revisions to correct reported issues including those related to "Product List" tab. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 24, 2022. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.3	RMI MRT Team	May 5, 2023	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Updates to tips on the Instructions tabs 3. Enhancements which do not conflict with IPC-1755 <ol style="list-style-type: none"> a. Update to ISO short names for countries, states / provinces 4. Updates to Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 20, 2023. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.31	RMI MRT Team	May 26, 2023	<ol style="list-style-type: none"> 1. Minor revisions to correct reported issues including those related to "Declaration", "Smelter List", and "Smelter Look-up" tabs. 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of March 20, 2023. The latest version of the Standard Smelter List is available at: http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/exports/cmrt-export/ .
6.4	RMI MRT Team	April 26, 2024	<ol style="list-style-type: none"> 1. Corrections to all bugs and errors 2. Updates to highlighting on the Smelter List tabs. 3. Updates to Smelter Reference List and Standard Smelter List 	This version incorporates a few changes to the smelter list as reflected in the Standard Smelter List as of February 28, 2024. The latest version of the Standard Smelter List is available at: https://www.responsiblemineralsinitiative.org/facilities-lists/smelter-reference-lists-export/ .



Select Language Preference Here:
 请选择你的语言:
 사용할 언어를 선택하십시오:
 表示言語をここから選択してください:
Sélectionner la langue préférée ici:
Selecione Preferência de idioma Aqui:
Wählen sie hier die Sprache:
Selecione el lenguaje de preferencia aqui:
Selezionare la lingua di preferenza qui:
Burada Dil Tercihini Belirleyin:

English

Conflict Minerals Reporting Template (CMRT)

Revision 6.4
 April 26, 2024

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.
 Company Information

Company Name (*):	Keats de Mexico
Declaration Scope or Class (*):	A. Company
Description of Scope:	Conflict Minerals Reporting Template (CMRT)
Company Unique ID:	81-282-1602
Company Unique ID Authority:	DUNS
Address:	Avenida Brasil No.17, Parque Industrial TLC, El Marquez, Queretaro, 76248, Mexico
Contact Name (*):	Matt Bujak
Email - Contact (*):	mbujak@keatsmfg.com
Phone - Contact (*):	847-520-1133
Authorizer (*):	Matt Bujak
Title - Authorizer:	Corporate Quality Manager
Email - Authorizer (*):	mbujak@keatsmfg.com
Phone - Authorizer:	847-520-1133
Effective Date (*):	22-May-2024

Answer the following questions 1 - 8 based on the declaration scope indicated above

1) Is any 3TG intentionally added or used in the product(s) or in the production process? (*)		Answer	Comments
Tantalum		No	
Tin (*)		Yes	
Gold (*)		Yes	
Tungsten		No	

2) Does any 3TG remain in the product(s)? (*)		Answer	Comments
Tantalum			
Tin (*)		Yes	
Gold (*)		Yes	
Tungsten			

3) Do any of the smelters in your supply chain source the 3TG from the covered countries? (SEC term, see definitions tab) (*)		Answer	Comments
Tantalum			
Tin (*)		Yes	The RMI allows for approved concentrates to be responsibly sourced from the DRC and surrounding covered areas. Smelters that source approved concentrates from these areas are considered "approved, level 3 smelters" for RMI and reporting purposes. Perfection Plating's policy follows the Dodd Frank legislation and corresponding RMI approved smelters, and therefore may source material from approved level 3 smelters thru our suppliers.
Gold (*)		No	
Tungsten			

4) Do any of the smelters in your supply chain source the 3TG from conflict-affected and high-risk areas? (*)		Answer	Comments
Tantalum			
Tin (*)		Yes	The RMI allows for approved concentrates to be responsibly sourced from the DRC and surrounding covered areas. Smelters that source approved concentrates from these areas are considered "approved, level 3 smelters" for RMI and reporting purposes. Perfection Plating's policy follows the Dodd Frank legislation and corresponding RMI approved smelters, and therefore may source material from approved level 3 smelters thru our suppliers.
Gold (*)		No	
Tungsten			

5) Does 100 percent of the 3TG (necessary to the functionality or production of your products) originate from recycled or scrap sources? (*)		Answer	Comments
Tantalum			
Tin (*)		No	
Gold (*)		No	
Tungsten			

6) What percentage of relevant suppliers have provided a response to your supply chain survey? (*)

	Answer	Comments
Tantalum		
Tin (*)	100%	
Gold (*)	100%	
Tungsten		

7) Have you identified all of the smelters supplying the 3TG to your supply chain? (*)

	Answer	Comments
Tantalum		
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten		

8) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Tantalum		
Tin (*)	Yes	
Gold (*)	Yes	
Tungsten		

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a responsible minerals sourcing policy? (*)	Yes	
B. Is your responsible minerals sourcing policy publicly available on your website? (Note – If yes, the user shall specify the URL in the comment field.) (*)	Yes	https://metalstamping.keatsmfg.com/lp-iso-certification-downloads
C. Do you require your direct suppliers to source the 3TG from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	
D. Have you implemented due diligence measures for responsible sourcing? (*)	Yes	
E. Does your company conduct Conflict Minerals survey(s) of your relevant supplier(s)? (*)	Yes, in conformance with IPC1755 (e.g	
F. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
G. Does your review process include corrective action management? (*)	Yes	
H. Is your company required to file an annual conflict minerals disclosure? (*)	No	Keats de Mexico is a private company